

# Agenda Item #8



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

*(by regular and certified mail)*

March 30, 2007

Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

**Re: Delinquent Campaign Finance Report – Due Dec 19, 2006**

Dear Mr. Anderson:

The Ethics Commission has previously written to you regarding your failure to file a campaign finance report, as required under the Election Law. Failure to file a campaign finance report within 30 days of a filing deadline is a Class E Crime under 21-A M.R.S.A. §1020-A(8-A).

**If you do not file your report prior to the Commission's meeting on May 14, 2007, the Commission staff will recommend to the Commission members that you be referred to the Maine State Attorney General's Office for possible criminal prosecution under 21-A M.R.S.A. §1020-A(8-A).**

To stop this process, immediately submit the following reports:

- **42-Day Post-General Report**

The Commission staff will send you five notices to alert you to your possible referral to the Attorney General, and the Commission staff will also contact you by telephone. The first notice will include a blank reporting form which you can use to file the report.

Please telephone me 287-4709 regarding this matter, and inform me whether you will be filing the report or if you believe no report is required. Thank you.

Sincerely,

Gavin O'Brien  
Candidate Registrar

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE  
WEBSITE: [WWW.MAINE.GOV/ETHICS](http://WWW.MAINE.GOV/ETHICS)

PHONE: (207) 287-4179

FAX: (207) 287-6775

COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES

135 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0135



7002 2410 0000 9315



02 1A  
0404316920 MAR 30 2007  
\$04.640  
MAILED FROM ZIP CODE 04330

~~Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04862~~

*NOT 4/5 4/6*

**RECEIVED**  
APR 20 2007

COMMISSION ON GOVERNMENTAL ETHICS  
& ELECTION PRACTICES/AUGUST 13, 2007



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

February 26, 2007

Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

**Re: Delinquent Campaign Finance Report – Due Dec 19, 2006**

Dear Mr. Anderson:

The Ethics Commission has previously written to you regarding your failure to file a campaign finance report, as required under the Election Law. Failure to file a campaign finance report within 30 days of a filing deadline is a Class E Crime under 21-A M.R.S.A. §1020-A(8-A).

**If you do not file your report prior to the Commission's meeting on February 14, 2007, the Commission staff will recommend to the Commission members that you be referred to the Maine State Attorney General's Office for possible criminal prosecution under 21-A M.R.S.A. §1020-A(8-A).**

To stop this process, immediately submit the following reports:

- **42-Day Post-General Report**

The Commission staff will send you five notices to alert you to your possible referral to the Attorney General, and the Commission staff will also contact you by telephone. The first notice will include a blank reporting form which you can use to file the report.

Please telephone me 287-4709 regarding this matter, and inform me whether you will be filing the report or if you believe no report is required. Thank you.

Sincerely,

A handwritten signature in cursive script that reads "Gavin O'Brien".

Gavin O'Brien  
Candidate Registrar

COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0135

**CERTIFIED MAIL**



7005 3110 0000 2803 74



02 1A  
0004330717 FEB 26 2007  
MAILED FROM ZIP CODE 04330

**REASON CHECKED**

Undelivered  
Returned  
Addressed Not Known  
Insufficient Address  
No Such Street  
No Such Building  
Do not remain in this office

~~Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462~~

NOT-DELIVERED  
3-1-07  
3-1-07

Second Notice, No Reply  
to first notice mailed

04333-0135



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

February 6, 2007

Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

**Re: Delinquent Campaign Finance Report – Due Dec 19, 2006**

Dear Mr. Anderson:

The Ethics Commission has previously written to you regarding your failure to file a campaign finance report, as required under the Election Law. Failure to file a campaign finance report within 30 days of a filing deadline is a Class E Crime under 21-A M.R.S.A. §1020-A(8-A).

**If you do not file your report prior to the Commission's meeting in March, the Commission staff will recommend to the Commission members that you be referred to the Maine State Attorney General's Office for possible criminal prosecution under 21-A M.R.S.A. §1020-A(8-A).**

To stop this process, immediately submit the following reports:

- **42-Day Post-General Report**

The Commission staff will send you five notices to alert you to your possible referral to the Attorney General, and the Commission staff will also contact you by telephone. The first notice will include a blank reporting form which you can use to file the report.

Please telephone me 287-4709 regarding this matter, and inform me whether you will be filing the report or if you believe no report is required. Thank you.

Sincerely,

*Gavin O'Brien*

Gavin O'Brien  
Candidate

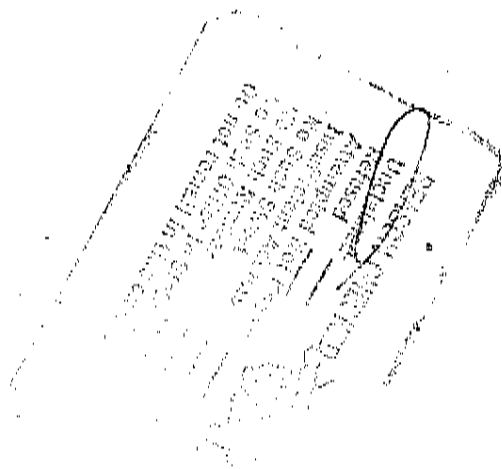
U.S. Postal Service™	
<b>CERTIFIED MAIL™ RECEIPT</b>	
(Domestic Mail Only; No Insurance Coverage Provided)	
For delivery information visit our website at <a href="http://www.usps.com">www.usps.com</a>	
<b>OFFICIAL USE</b>	
Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$
Postmark Here	
Sent To <i>Anderson</i>	
Street, Apt. No., or PO Box No.	
City, State, ZIP+4	

OFFICE LOCATED AT: 242 STATE STREET  
WEBSITE: [WWW.MAINE.GOV](http://WWW.MAINE.GOV)

PHONE: (207) 287-4179

## Commission on Governmental Ethics and Election Practices

S.H.S. 135  
242 State Street  
Augusta, ME 04333

[illegible]

7005 3110 0000 2803 7409

**First Class Mail**

~~Kenneth F. Anderson  
146 Katandin Avenue  
Millinocket, ME 04462~~

2-7-07  
2-12  
2-22

100-442887-248



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

January 18, 2007

Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

**Re: Delinquent Campaign Finance Report – Due Dec 19,**

Dear Mr. Anderson:

The Ethics Commission has previously written to you regarding your failure to file a campaign finance report, as required under the Election Law. Failure to file a campaign finance report within 30 days of a filing deadline is a Class E Crime under 21-A M.R.S.A. §1020-A(8-A).

**If you do not file your report prior to the Commission's meeting on February 14, 2007, the Commission staff will recommend to the Commission members that you be referred to the Maine State Attorney General's Office for possible criminal prosecution under 21-A M.R.S.A. §1020-A(8-A).**

To stop this process, immediately submit the following reports:

- **42-Day Post-General Report**

The Commission staff will send you five notices to alert you to your possible referral to the Attorney General, and the Commission staff will also contact you by telephone. The first notice will include a blank reporting form which you can use to file the report.

Please telephone me 287-4709 regarding this matter, and inform me whether you will be filing the report or if you believe no report is required. Thank you.

Sincerely,

Gavin O'Brien  
Candidate Registrar

PH12 E082 0000 DTTE 5002

U.S. Postal Service™	
<b>CERTIFIED MAIL™ RECEIPT</b>	
(Domestic Mail Only; No Insurance Coverage)	
For delivery information visit our website at www.usps.com	
<b>OFFICIAL</b>	
Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$
Sent To <i>Anderson</i>	
Street, Apt. No., or PO Box No.	
City, State, ZIP+4	
PS Form 3800, June 2002	

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE  
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775



COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0135

RECEIVED  
JAN 18 2007  
U.S. MAIL  
135 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0135

CERTIFIED MAIL



7005 3110 0000 2803



UNITED STATES POSTAGE  
\$04.64  
02 1A  
0004339717  
JAN 18 2007  
MAILED FROM ZIP CODE 04330

~~Kenneth H. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462~~

107-1/00107  
12-4

Mailed  
107-1/00107



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

December 21, 2006

Mr. Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

**Re: Delinquent Campaign Finance Report – Due December 19, 2006**

Dear Mr. Anderson:

Our records show that you have not filed your 42-Day Post-General Report. State law [21-A M.R.S.A. § 1020-A] requires that a penalty be assessed for late reports based on the amount of financial activity conducted during the filing period, on the number of calendar days a report is filed late, and on the candidate's filing record. If you raised or spent money during the filing period, you could be subject to civil penalties, which are accruing on a daily basis. Once you have filed your report, our office will calculate the penalty using the enclosed penalty matrix, and will notify you of the amount of the penalty. **Therefore, we urge you to file your report as soon as possible.**

Sincerely,

*Gavin O'Brien*

Gavin O'Brien  
Candidate Registrar

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Kenneth F. Anderson  
146 Katahdin Avenue  
Millinocket, ME 04462

OFFICE

**COMPLETE THIS SECTION ON DELIVERY**

- A. Signature ☒ Agent  
*K. Anderson* ☐ Addressee
- B. Received by (Printed Name) *K. Anderson*
- C. Date of Delivery *12/21/06*
- D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

3. Service Type  
☒ Certified Mail ☐ Express Mail  
☐ Registered ☐ Return Receipt for Merchandise  
☐ Insured Mail ☐ C.O.D.
4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number  
(Transfer from service label)

7005 3110 0000 2803 6643

Domestic Return Receipt

102595-02-M-1544

U.S. Postal Service™  
**CERTIFIED MAIL™**  
(Domestic Mail Only; No Insurance)  
For delivery information visit our web  
**OFFICIAL**

Postage \$  
Certified Fee \$  
Return Receipt Fee (Endorsement Required) \$  
Restricted Delivery Fee (Endorsement Required) \$  
Total Postage & Fees \$

Sent To *Anderson*  
Street, Apt. No. or PO Box No.  
City, State, ZIP+4  
PS Form 3800, June 2002

7005 3110 0000 2803 6643

## Title 21-A, §1020-A, Failure to file on time

**8. Failure to file report.** The commission shall notify a candidate who has failed to file a report required by this subchapter, in writing, informing the candidate of the requirement to file a report. If a candidate fails to file a report after 3 written communications from the commission, the commission shall send up to 2 more written communications by certified mail informing the candidate of the requirement to file and that the matter may be referred to the Attorney General for criminal prosecution. A candidate who fails to file a report as required by this subchapter after the commission has sent the communications required by this subsection is guilty of a Class E crime.

[2003, c. 628, Pt. A, §5 (rpr).]

**8-A. Penalties for failure to file report.** The penalty for failure to file a report required under this subchapter may not exceed the maximum penalties as provided in subsection 5-A.

[2003, c. 628, Pt. A, §6 (new).]

**9. List of late-filing candidates.** The commission shall prepare a list of the names of candidates who are late in filing a report required under section 1017, subsection 2, paragraph C or D or section 1017, subsection 3-A, paragraph B or C within 30 days of the date of the election and shall make that list available for public inspection.

[1995, c. 483, §15 (new).]

**10. Enforcement.** The commission staff has the responsibility for collecting the full amount of any penalty and has all necessary powers to carry out this responsibility. Failure to pay the full amount of any penalty levied under this subchapter is a civil violation by the candidate, treasurer, political party or other person whose campaign finance activities are required by this subchapter to be reported. Thirty days after issuing the notice of penalty, the commission shall report to the Attorney General the name of any person who has failed to pay the full amount of any penalty. The Attorney General shall enforce the violation in a civil action to collect the full outstanding amount of the penalty. This action must be brought in the Superior Court for Kennebec County or the District Court, 7th District, Division of Southern Kennebec.

[1999, c. 426, §33 (amd).]

MRSA , §T.21A SEC.1020A/4,5 (AMD).

IB 1995, Ch. 1, §15 (AMD).

PL 1995, Ch. 483, §15 (NEW).

PL 1995, Ch. 625, §B5 (AMD).

RR 1995, Ch. 1, §10 (COR).

RR 1995, Ch. 2, §38 (COR).

PL 1999, Ch. 426, §32,33 (AMD).

PL 1999, Ch. 729, §5 (AMD).

PL 2001, Ch. 470, §11 (AFF).

PL 2001, Ch. 470, §7,8 (AMD).

PL 2001, Ch. 714, §PP1 (AMD).

PL 2001, Ch. 714, §PP2 (AFF).

PL 2003, Ch. 302, §4 (AMD).

PL 2003, Ch. 448, §4 (AMD).

PL 2003, Ch. 628, §A3-6 (AMD).

RR 2003, Ch. 1, §14 (COR).